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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/062,379

Filing Date February 1, 2002

First Named Inventor HEDDLESON, RONALD A.

Group Art Unit 1761

Examiner Name

Total Number of Pages in This Submission

8

Attorney Docket Number 6367USA

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard Copy of Notice
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual Name

GENERAL MILLS

Signature

Date

3/12/02

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name

PAT HAGGBLOM

Signature

Pat Haggbloom

Date

3-22-02

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PATENT TRADEMARK OFFICE

COPY OF PAPERS
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#3

PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$130.00)

Complete if Known

Application Number 10/062,379
Filing Date February 1, 2002
First Named Inventor HEDDLESON, RONALD A.
Examiner Name
Group Art Unit 1761
Attorney Docket No. 5367USA

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:
Deposit Account Number 07-0900
Deposit Account Name General Mills, Inc.
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant Claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:
☐ Check ☐ Credit Card ☐ Money ☐ Other Order

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES

Extra Claims		Fee from below	Fee Paid	
Total Claims	-20** =	x	=	
Indep. Claims	-3** =	x	=	
Multiple Dependent				
Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	
103	18	203	9	
102	84	202	42	
104	280	204	140	
109	84	209	42	
110	18	210	9	
SUBTOTAL (2)				(\$)

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)

				Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	\$130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1440	218	720	Extension for reply within fourth month	
128	1960	228	980	Extension for reply within fifth month	
119	310	220	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1240	241	620	Petition to revive - unintentional	
142	1280	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129 (a))	
149	710	246	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Advance Copies					
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$130.00)

SUBMITTED BY

Name (Print/Type)		Registration No.	36,206	Telephone	763-764-2265
Signature		(Attorney/Agent)		Date	3/18/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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PATENT TRADEMARK OFFICE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re.: Patent Application of
 HEDDLESON, RONALD A.
 PERDON, ALICIA A.
 MARSTON, BRENT
 HITE, H. EUGENE
 SUNG, SHEN SHU

Serial No.: 10/062,379

Filed: February 1, 2002

For: FOOD PRODUCTS WITH IMPROVED BILE ACID BINDING
 FUNCTIONALITY AND METHODS FOR THEIR
 PREPARATION

Docket No.: 5367USA

COPY OF PAPERS
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FILING OF MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
 Washington, DC 20231

Dear Sir:

Applicant has received a Notice To File Missing Parts Of Application--Filing Date Granted. The notice indicated that the following items were missing:

1. The oath or declaration

Please find enclosed a Declaration executed by all of the inventors.

Also enclosed is a copy of the Notice. The fee is herewith made from Deposit Account No. 07-0900.

Respectfully submitted,

HEDDLESON, RONALD A.
 PERDON, ALICIA A.
 MARSTON, BRENT
 HITE, H. EUGENE
 SUNG, SHEN SHU

I hereby certify that this document is being deposited with the United States Postal service as first class mail in an envelope addressed to:

Assistant Commissioner for
 Patents
 Washington, DC 20231

on:

3-22-02

Pat Haggblom
 Signature

By

Michael C. Maier

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/062,379	02/01/2002	Ronald A. Heddlesson	5367USA

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GENERAL MILLS, INC.
P.O. BOX 1113
MINNEAPOLIS, MN 55440



CONFIRMATION NO. 2577

FORMALITIES LETTER



OC00000007544987

Date Mailed: 02/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Shen-Shu Sung
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

04/03/2002 AOSMAN1 00000024 070900 10062379

01 FC:105 130.00 CH

A copy of this notice **MUST** be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

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